## CENTRAL FAX CENTER

1-978-341-0242

T-176 P.01/14 F-073



JUN 2 5 2007

Hamilton, Brook, Smith & Reynolds, P.C. 530 Virginia Rood PO Box 9133 Concord, MA 01742-9133 T 978.341 CO36 F 978.341.0136 www.hbsr.co.n

#### FACSIMILE COVER SHEET

Examiner:

Office of Initial Patent Examination

Customer Service Center

Date:

June 25, 2007

Client Code:

3799

Facsimile No.:

571-273-8300

From:

Alexander Akhiezer, Ph.D.

Subject:

Request for Corrected Filing Receipt

Docket No.:

3799.1008-000

Applicants:

Klaus Muellen et al.

**Application No.:** 

10/520,326

371(c) Filing Date:

October 5, 2005

Number of pages including this cover sheet: 14

Please confirm receipt of facsimile: Yes 🛛

No  $\square$ 

#### Sir:

Please process the attached Request for Corrected Filing Receipt.

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1-978-341-0242

T-176 P.02/14 F-073

RECEIVED CENTRAL FAX CENTER PATENT APPLICATION Docket No.: 3799.1008-000

JUN 2 5 2007

C:\NrPonbl\manage\SJAMMAL\734398\_1.DOC SGD/AA/saj June 25, 2007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Klaus Muellen, Markus Klapper, Vesselin Sinigersky, Weicheng Wu,

Oemer Uensal and Jochen Baurmeister

Application No.: 10/520,326

Group:

1745

371(c) Filing Date:October 5, 2005

Examiner:

Not Assigned

Confirmation No.: 2558

For:

FUNCTIONALIZED POLYAZOLES, METHOD FOR THE PRODUCTION

THEREOF, AND USE THEREOF

CERTIFICATE OF MAILING OR TRANSMISSION I never certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on Typed or printed name of person signing certificate

#### REQUEST FOR CORRECTED FILING RECEIPT FOR UTILITY APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We hereby request that the following items of information be corrected in the Filing Receipt for the subject application received in this office on April 17, 2007.

A copy of a recently filed Declaration for Parent Application is submitted herewith. The errors and corrections appear below.

In the Applicant(s) section:

Vesselin Sinigersky's address should be "Sofia" not "Safia."

Applicant's name "Oemer Ueasal" should be "Oemer Uensal."

10/520,326

-2-

Enclosed are copies of the Filing Receipt with changes noted thereon and a copy of the Declaration for Patent Application.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

Alexander Akhiezer

Registration No. 54,617 Telephone: (978) 341-0036

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Date: 6/20/07

JUN 25 2007





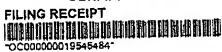
### JNITED STATES PATENT AND TRADEMARK OFFICE

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TOT CLMS IN: > CLMS ATTY DOCKET NO FILING OR 371(c) FIL FEE REC'D ARY UNIT APPL NO 19 3799 1008-000 1030 10/05/2005 1745 10/520,326

CONFIRMATION NO. 2558

21005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C. 530 VIRGINIA ROAD P.O. BOX 9133 CONCORD, MA 01742-9133



Date Mailed, 04/13/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Klaus Muellen, Koln, GERMANY; Markus Klapper, Mainz, GERMANY, Vesselin Sinigersky, Safia, BULGARIA, SOFIA Weicheng Wu, Mainz, GERMANY; UENSAL Oemer Woasal-Mainz, GERMANY, Jochen Baurmeister, Eppstein, GERMANY,

Power of Attorney: The patent practitioners associated with Customer Number 21005.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/07026 07/02/2003

Foreign Applications

GERMANY 102 30 477 7 07/06/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No.

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APR 17 2007

RECEIVED.

Title

Functionalized polyazoles, method for the production thereof, and use thereof

Preliminary Class

429

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT) An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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DOCKET NO. 3799.1008-000

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration and Power of Attorney for Patent Application Erklarung für Patentanmeldungen mit Vollmacht

#### German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

daß mein Wohnsitz, meine Postanschrift und meine Staatsangehörigkeit den im nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, daß ich nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit folgendem Titel beantragt wird:

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the inventon entitled:

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POLYAZOLE, VERFAHREN ZU
IHRER HERSTELLUNG SOWIE
IHRE VERWENDUNG

deren Beschreibung hier beigefügt ist, es sei denn (in diesem Falle Zutreffendes bine ankreuzen), diese Erfindung: FUNCTIONALIZED POLYAZOLES, METHOD FOR THE PRODUCTION THEREOF, AND USE THEREOF

the specification of which is attached hereto unless the following box is checked:

- [X] wurde angemeldet an

  2 Juli 2003 unter der USAnmeldenummer oder unter der
  Internationalen Anmeldenummer im
  Rahmen des Vertrags über die
  Zusammenarbeit auf dem Gebiet
  des Patentwesens (PCT)
  PCT/EP2003/007020 und am
  5 Januar 2005 abgeändert.
- [X] was filed on July 2, 2003
  as United States Application
  Number or PCT International
  Application Number
  PCT/EP2003/007020 and was
  amended on January 5, 2005

Ich bestätige hiermit, daß ich den Inhalt der oben angegebenen Patentanmeldung, einschließlich der Ansprüche, die eventuell durch einen oben erwähnten Zusatzantrag abgeändert wurde, durchgesehen und verstanden habe.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemaß Title 35, US-Code, δ 119 (a)-(d), bzw. § 365(b) aller unten aufgeführten Auslandsammeldungen für Patente oder Erfinderurkunden, oder § 365(a) aller PCT internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente bzw. Erfinderurkunden oder PCT internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht.

I hereby claim foreign priority under T.tle 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the oox, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Applications (Frühere ausländische Anmeldungen)

## Prionty Not Claimed Priontat nicht beanspricht

DE 102 30 477.7	Germany
(Number)	(Country)
(Nummer)	(Land)
(Number)	(Country)
(Nummer)	(Land)

July 6. 2002 [ ]
(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

Ich beanspruche hiermit Prioritätsvorteile unter Title 35, US-Code, § 119(e) aller US-Hilfsanmeldungen wie unten aufgezählt. I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application No.)	(Filing Date)
(Aktenzeichen)	(Anmeldetag)
(Application No.)	(Filing Date) (Anmeldetag)

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I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application No.) (Aktenzeichen)	(Filing Date) (Anmeldetag)	(Status) (patentied, pending, abandor ed) (Status) (patentiert, schwebend, aufgegeben)
(Application No.) (Aktenzeichen)	(Filing Date) (Anmeldetag)	(Status) (patented, pending, abandoned) (Status) (patentiert, schwebend, aufgegeben)

Ich erkläre hiermit, daß alle in der vorliegenden Erklärung von mir gemachten Angaben nach bestem Wissen und Gewissen der Wahrheit entsprechen, und ferner daß ich diese eidesstattliche Erklärung in Kennmis dessen ablege, daß wissentlich und vorsätzlich falsche Angaben oder dergleichen gemäß § 1001, Title 18 des US-Code strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können und daß derartige wissentlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines aufgrund deren erteilten Patentes gefährden können

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Customer Number 021005

#### Postanschrift:

Customer Number 021005
Hamilton, Brook, Smith & Reynolds, P.C. 530 Virginia Road
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Concord, MA 01742-9133

#### Telefonische Auskünfte:

Steven G. Davis, Esq. (978) 341-0036

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number 021005

#### Send Correspondence to:

Customer Number 021005
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#### Direct Telephone Calls to:

Steven G. Davis Esq. (978) 341-0036

Vor- und Zuname des einzigen oder ersten Erfinders Klaus Muellen	Full name of sole or first inventor
	Kiaus Muellen
Unterschrift des Erfinders Whom Welle	Inventor's signature
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Staatsangehörigkeit Deutschland	Citizenship Germany
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Vor- und Zuname des zweiten Miterfinders (falls zutreffend) Markus Klapper	Full name of second joint inventor, if any  Markus Klapper
Unterschrift des zweiten Erfinders	Second Inventor's signature
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Staatsangehörigkeit Deutschland	Citizenship Germany
Postanschrift wie oben	Post Office Address Same as above

Vesselin Sinigersky  Fourth Inventor's signature  Residence Bulevard Praga 19 1463 Sofia Bulgaria  Citizenship Bulgaria  Post Office Address Same as above  Full name of fourth joint inventor, if any
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Bulgaria  Post Office Address Same as above
Same as above
Full name of fourth joint inventor, if any
Full name of fourth joint inventor, it any
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Fourth Inventor's signature
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Citizenship China
Post Office Address Same as above

-8-

Vor- und Zuname des fünftens Miterfinders (falls zutreffend)	Full name of fifth joint inventor, if any
Oemer Uensal	Oemer Uensal
Unterschrift des funftens Erfinders	Fifth Inventor's signature  O. luncal
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Staatsangehörigkeit Deutsch	Citizenship German
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Vor- und Zuname des sechst Miterfinders (falls zutreffend) Jochen Baurmeister	Full name of sixth joint inventor, if any  Jochen Baurmeister
Unterschrift des sechst Erfinders  Zoden Balmung	Sixth Inventor's signature  Doclor Barrer
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Staatsangehöngkeit Deutschland	Citizenship Germany
Postanschrift wie oben	Post Office Address Same as above

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